## BEST AVAILABLE COPY

-	te Medical University or the Humane Use of (315) 464-6563 (315) 464-4295		CHUA #Addendum #Principal Inv Date Received Date Approve	estigato	JA use only 713 713A r Megu 1-18-01 7-24-01	<u>'d</u> .
ADDENDUM	I TO AN APPROVE	<u>D PROTOCO</u> J	Ĺ			
explanation for any of the foll data collection in experimenta	a typed, detailed descript these changes. The owing: additional tests, changes in species of all procedure or multiple an entirely new protocol.	appropriate type t substances adn or strain, need fo le departures fro	es of changes in inistered, changer additional anion the original	nclude (lages in a mals, etc protocol	but are not lin nesthesia, cha c. Complex c l may require	nited to) anges in changes
As mandated by altered from within the add	oy the Animal Welfare the original protocol endum.	e Act, 9 CFR Ch by the proposed	n. 1: If any of the changes, those	he follov e change	wing items wi s must be add	ill be iressed
Approximate of Total number of Changes in ground Changes in prochanges in an Changes in eu	involved and source. number of animals need of animals requested froups, numbers/group, species change and/or oposed use of animals esthesia or other mether than asia methods. pain category that requestions are sourced to the species of animals and the species of animals are those of the species of the species of animals are those of the species of	for this CHUA. etc. the numbers receive compods of minimizing	luested. letely). ng pain and dis			and
	that the attached ad of the principal inve		les the CHUA	number	·, date, printe	ed name
CHUA Use O	nly		7. 5.			
Approv (Initial	vals & date) :	CHUA Chairpe Veterinarian Other CHUA N	MAN	<u>[</u> ]	Date <u>7-/9-0</u> Date <u><b>7-24-0</b> Date</u>	<i>0</i> /

Form #56 (Rev 2/8/01)

## SURGERY FOR THE MORBIDLY OBESE PATIENT 120

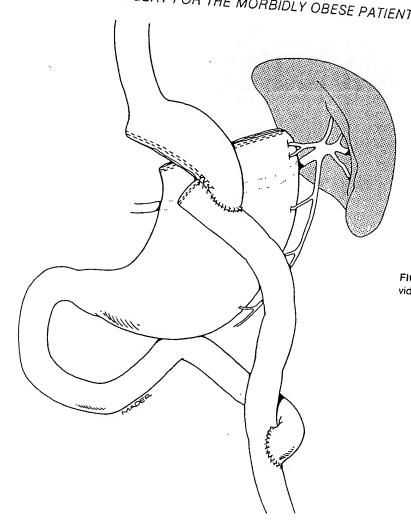


FIGURE 12.5. Authors' version of divided gastric bypass with Roux-en-Y.

SUNY Upstate Medical University Committee for the Humane Use of Animals

Phone: (315) 464-6563 Fax: (315) 464-4295 CHUA# 713
Addendum#
Principal Investigator
Date Received:
Date Approved:

#### Oct. 12, 2001

- 1. Species/strain involved and source: Obese Zucker (fa/fa) rats. Harlan Teklad.
- 2. Approximate number of animals needed for this addendum: 24<sup>+</sup>
- 3. Changes in groups, numbers/ group, etc.8 obese rats will be used as study (gastric bypass operation); 8 obese rats will be used as pair fed sham control and 8 obese rats will be used as ad libitum control.
- 4. Rationale for species change and or the numbers requested.

  The rationale for using 24 obese Zucker rats is:
- i). As showed in Figure 1, it is likely that the reduced food intake and weight loss after gastric stapling may be due to acute effect of operation. To verify this point and to eliminate this issue it is important to test the effects of gastric-bypass after 21-30 days.
- ii) It is also needed to add a pair fed control group for this experiment to verify effects on mRNA (see figure 2), to observe long-term (21 days) effect after gastric bypass operation on body weight loss and expression of gastric mRNA of ghrelin.

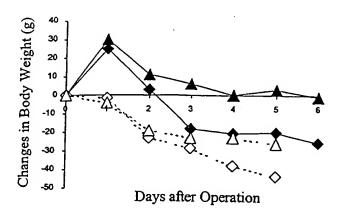


Fig.i. Changes in body weight after gastricbypass operation in Zucker rats.Both obese and lean rats lost body weight after gastricbypass operation and rats in sham operation group lost weight after pair-fed food intake.

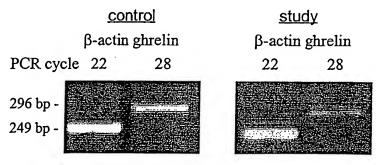


Fig. 8. RT-PCR for Ghrelin mRNA from stomach one week after gastric bypass operation in SD rats. The bands of 296 and 249 base pairs corresponded to the expected fragments of ghrelin and  $\beta$ -actin, respectively.

#### SUNY Upstate Medical University For CHUA use only Committee for the Humane Use of Animals CHUA# Phone: (315) 464-6563 Addendum # Fax: (315) 464-4295 Principal Investigator Meckey Date Received: 12-11-04 Date Approved:

#### ADDENDUM TO AN APPROVED PROTOCOL

Please attach a typed, detailed description of all changes from the original protocol and the explanation for these changes. The appropriate types of changes include (but are not limited to) any of the following: additional test substances administered, changes in anesthesia, changes in data collection, changes in species or strain, need for additional animals, etc. Complex changes in experimental procedure or multiple departures from the original protocol may require submission of an entirely new protocol. Contact the CHUA office for guidance.

As mandated by the Animal Welfare Act, 9 CFR Ch. 1: If any of the following items will be altered from the original protocol by the proposed changes, those changes must be addressed within the addendum.

Species/strain involved and source.

Approximate number of animals needed for this addendum.

Total number of animals requested for this CHUA.

Changes in groups, numbers/group, etc.

Rationale for species change and/or the numbers requested.

Changes in proposed use of animals (describe completely).

Changes in anesthesia or other methods of minimizing pain and discomfort.

Changes in euthanasia methods.

Change in the pain category that requires consideration of alternatives to minimize pain and suffering.

Please ensure that the attached addendum includes the CHUA number, date, printed name and signature of the principal investigator.

CHUA Use Only		
Approvals	CHUA Chairperson Date 1/3/6	12
(Initial & date)	Veterinarian MAN Date 1/9/02	
	Other CHUA Member Date	

Form #56 (Rev 2/8/01)

SUNY Upstate Medical University Committee for the Humane Use of Animals		For CHUA use only		
		CHUA #		
Phone:	(315) 464-6563	Addendum #713 <b>D</b>		
Fax:	(315) 464-4295	Principal Investigator Meguid		
		Date Received: 2-25-02 Rev.		
		Date Approved: 3-12-02		

#### ADDENDUM TO AN APPROVED PROTOCOL

Please attach a typed, **detailed** description of all changes from the original protocol and the explanation for these changes. The appropriate types of changes include (but are not limited to) any of the following: additional test substances administered, changes in anesthesia, changes in data collection, changes in species or strain, need for additional animals, etc. Complex changes in experimental procedure or multiple departures from the original protocol may require submission of an entirely new protocol. Contact the CHUA office for guidance.

As mandated by the Animal Welfare Act, 9 CFR Ch. 1: If the following items will be altered from the original protocol, please address each in the addendum regarding the proposed changes. Any non-applicable items must be indicated by N/A.

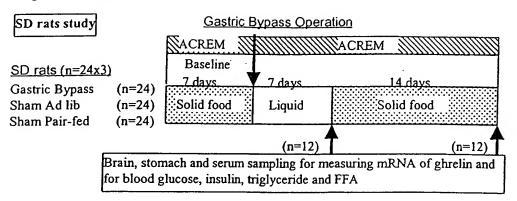
- 1. Species/strain involved and source.
- 2. Approximate number of animals needed for this addendum.
- 3. Total number of animals requested for this CHUA.
- 4. Changes in groups, numbers/group, etc.
- 5. Rationale for species change and/or the numbers requested.
- 6. Changes in proposed use of animals (describe completely).
- 7. Changes in anesthesia or other methods of minimizing pain and discomfort.
- 8. Changes in euthanasia methods.
- 9. Change in the pain category that requires consideration of alternatives to minimize pain and suffering.

Please ensure that the attached addendum includes the CHUA number, date, printed name and signature of the principal investigator.

CHUA Use Only	1/20
Approvals (Initial & date)	CHUA Chairperson Date 3/2/02 Veterinarian Date 3/2/02 Other CHUA Member Date

Form #56 (Rev 12/20/01)

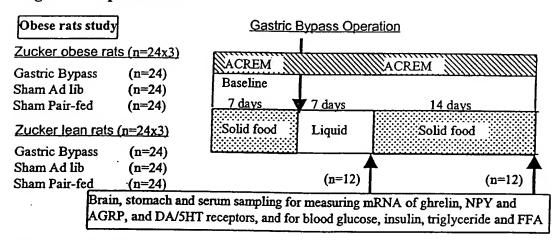
Figure 1: Experiment #1



**EXP #2-Zucker rats study** (in 2002): The purpose of this study is to investigate short- and long-term effects of gastric bypass operation on the feeding pattern, body weight and carcass composition changes.

In this experiment, the mRNA of ghrelin, NPY and AGRP, and DA/5HT receptors will be analyzed with molecular biology method. The mRNA will be extracted from hypothalamus homogenates for measuring the expression of ghrelin, NPY, AGRP and of dopamine D1, D2, serotonin 5ht1b or 5ht2c receptors with RT-PCR method. There are 72 Zucker obese and 72 lean rats in this study, rats are divided into 6 groups, each group has 24 obese or 24 lean Zucker rats, see Fig. 2

Figure 2: Experiment #2



EXP #3-Histological study (in 2003): The purpose of this study is to confirm the specific sites and the amount of peptide and its gene expression, by using immunohistochemistry and in situ hybridization for: ghrelin, NPY, AGRP and dopamine D1, D2, serotonin 5HT1B or 5HT2C receptors in hypothalamus, and ghrelin in stomach in Zucker rats. This will give the possibility to quantify the results of immunocytochemical reaction, and as a result changes in the receptor activity after operation in different hypothalamic structures. Immunofluorescent doubleabeling with different labeling of secondary antibodies will be performed to analyze the possible colocalization of serotonine and dopamine receptors on the same neurons, and thus

We hypothesize that gastric stapling creates a small gastric pouch inducing early satiety by reducing the gastric peptide ghrelin. This inhibits gastric vagal afferents that relay signals to the hypothalamus, which stimulate aminergic (5HT) and inhibit peptidergic (NPY/AGRP) to decrease food intake. Based on the result of our previous experiments, we had developed a rat Gastric Bypass, Roux-en-Y (GB) model and observed decreased food intake and weight loss. This would enable us to continue our obesity study and explore the peripheral and central mechanisms whereby this operation induces gradual weight loss.

- 5. Changes in proposed use of animals. None.

  This is an addendum to original protocol, in order to continue the obesity study.
- 6. Changes in anesthesia or other methods of minimizing pain and discomfort. None.
- 7. Changes in euthanasia methods. None. Same as original protocol.
- 8. Change in the pain category that requires consideration of alternative to minimizing pain and suffering.None. Same as original protocol

Michael Kegund und PhiD

iv) Method for the model of DIO rats: Sprague-Dawley male rat (specifically from Charles River Laboratories), 3 weeks of age are kept on Purina lab chow (no. 5008) and water ad libitum for 1 week, food intake and body weight is measured. Then all rats are switched to a HE diet ad libitum for 5-6 weeks. This diet is composed of 8% corn oil, 44% sweetened condensed milk, and 48% Purina rat chow (no.5001, Research Diets) and

#### contains

4.47 kcal/g, with 21% of the metabolizable energy content as protein, 31% as fat, and 48% as carbohydrate, 50% of which is sucrose. This diet is provided by Research Diets, NJ (no. D12266B,). After 5-6 weeks on the HE diet, rats become fat (~100%), and are designated as diet-induced obesity rat (DIO).

#### Reference:

- (1) Levin BE, Dunn-Meynell AA. Defense of body weight depends on dietary composition and palatability in rats with diet-induced obesity. Am J Physiol Regul Integr Comp Physiol 2002; 282(1): R46-54
- (2) Levin BE, Keesey RE. Defense of differing body weight set points in dietinduced obese and resistant rats. Am J Physiol. 1998 Feb;274(2 Pt 2):R412-9
- (3) Levin BE, Dunn-Meynell AA, Balkan B, Keesey RE. Selective breeding for diet-induced obesity and resistance in Sprague-Dawley rats. Am J Physiol. 1997 Aug; 273(2 Pt 2):R725-30.
- 2. Species/strain involved and source. 3-week old weanling Sprague Dawley rat. Charles River Laboratories.
- Approximate number of animals needed for this addendum. 32 3.
- Total number of animals requested for this CHUA. 540 4.
- Changes in groups, numbers/group, etc. 5. None.
- Changes in proposed use of animals (describe completely). 6. None. This is only an addendum to original protocol, whereby we will reproduce the Roux-en-Y gastric bypass. The groups and surgery are same as explained in the original protocol and Addendum of Mar 7, 2002. 24 rats will be used in this experiment. For assuring to get the most obese rats for this study, we apply for 32 rats to feed them with high energy diet instead of only 24. Experiment designed: 24 Diet-induced obese rats will be divided into three group:

Gastric bypass (GB, n=8), Sham Ad lib (SA, n=8) and Sham Pair-fed (SP, n=8). 8 left rats will be used for other protocol.

#### Gastric Bypass Operation

		ACREM N		MACREM !!!!!!!	
		Baseline	- 4		
Gastric Bypass	(n=B)	7 days	4 days	14 days	
Sham Ad lib	(n=8:)	Solid food	Liquid	Solid food	
Sham Pair-fed	(n=8)				
	<del>р</del>				(n=8)
	for blood o	nach and serum sa glucose, insulin, tr	impling for r	measuring mRNA of gh	relin and
	3 2000	u	igiyeende ar	na FFA	

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
□ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ OTHER.

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.